

A real-time approach to optical properties in solids: time-dependent Bethe-Salpeter equation

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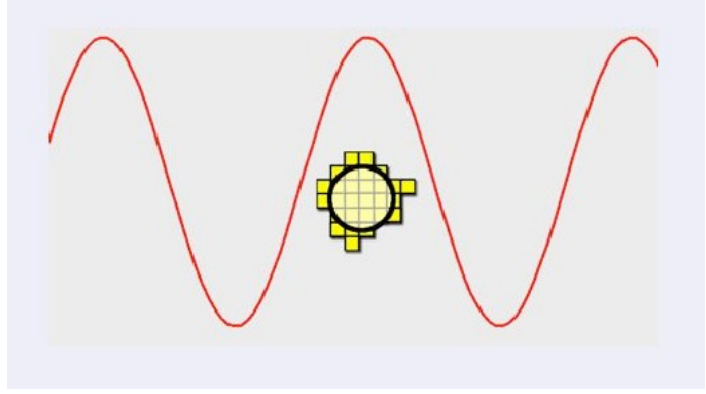
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The electronic and optical properties of semiconductors are well understood in term of many-body effects. However there is a lack of a first-principles approach capable of predicting the **influence of Coulomb correlations in light-matter interaction beyond the linear optics**. In this work we extend the well established many-body techniques in frequency space to real time domain, in such a way to describe phenomena beyond the linear regime. We report as example the calculations of dielectric constants in bulk materials and nanostructures.

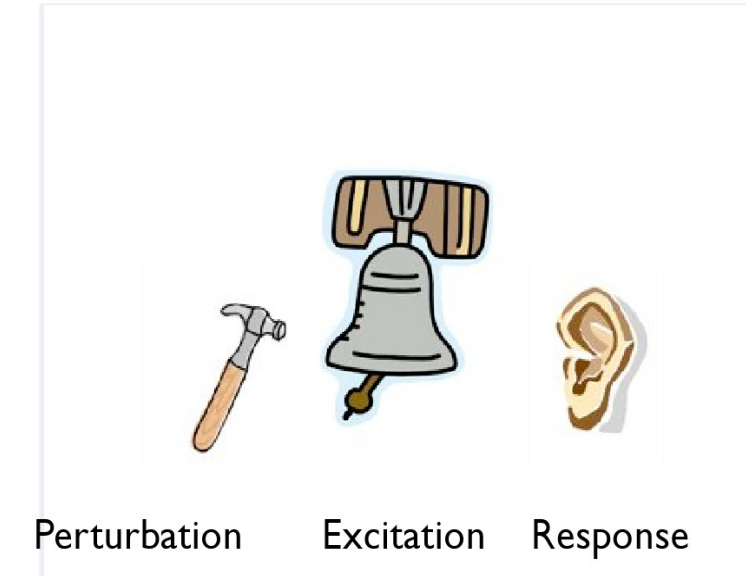
Electron-light interaction



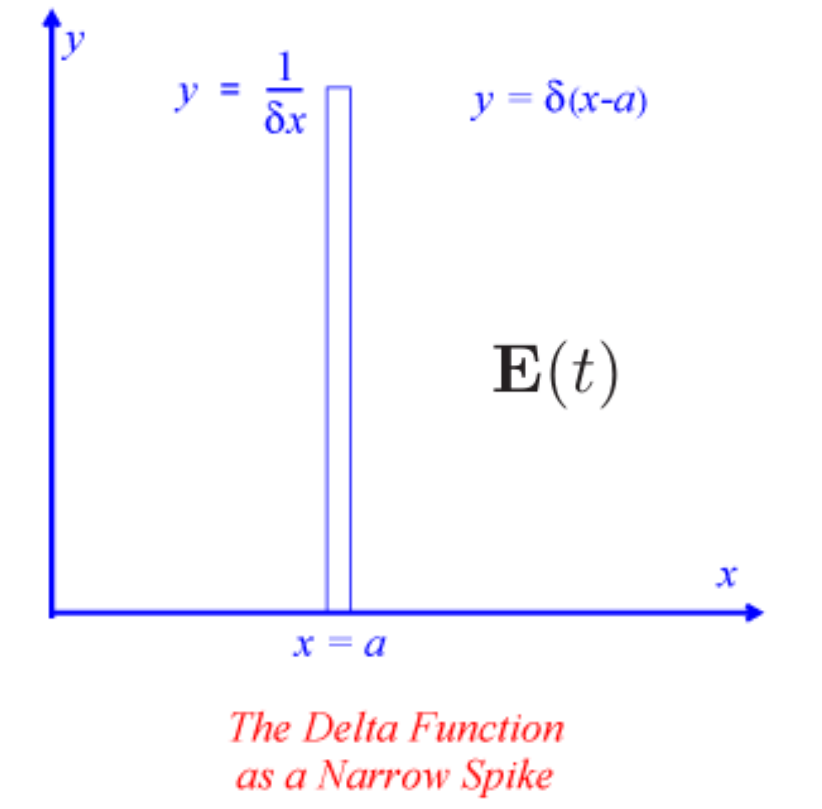
$$\begin{aligned} H &= H_0 + H_{ee} + H_I \\ H_0 &= T + V_H(\mathbf{r}) + V_{eI}(\mathbf{r}) + V_{xc}(\mathbf{r}) \\ H_{ee} &= - \sum_{i < j} \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|} \\ H_I &= -\mathbf{r} \cdot \mathbf{E}(t) \end{aligned} \quad (1)$$

where we chose as H_0 the Kohn-Sham Hamiltonian, that has been shown to be a very good starting point for many-body perturbation theory, and doesn't require any additional parameter except the atomic positions. The operator T is the kinetic operator, V_{eI} the electron-ion interactions, where ions have been replaced by norm-conserving pseudopotentials and V_{xc} the exchange correlation functional. The interaction with the external field H_I has been treated in direct coupling or length gauge.

Theoretical Spectroscopy



To probe our system we used a delta function, in time, homogeneous electric field that excites all the frequencies with the same intensity.



By using the Maxwell equations it is possible to derive a relation between the induced polarization $\mathbf{P}(\mathbf{r}, t)$, the electric displacement $\mathbf{D}(\mathbf{r}, t)$ and the electric field $\mathbf{E}(\mathbf{r}, t)$, the so called material equation:

$$\mathbf{D}(\mathbf{r}, t) = \epsilon_0 \mathbf{E}(\mathbf{r}, t) + \mathbf{P}(\mathbf{r}, t)$$

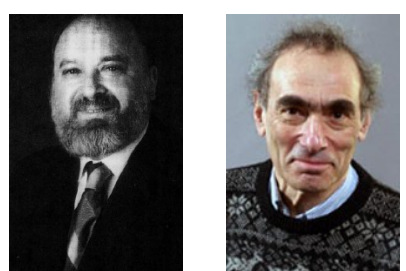
In linear response the electric displacement $\mathbf{D}(\mathbf{r}, t)$ is directly proportional, in frequency space, to the electric field as $\mathbf{D}(\omega) = \epsilon(\omega) \mathbf{E}(\omega)$. or equivalently $\mathbf{P}(\omega) = \epsilon_0(\epsilon(\omega) - 1)\mathbf{E}(\omega)$ and the macroscopic polarization $\mathbf{P}(\mathbf{r}, t)$ can be obtained from the $G^<$ as:

$$\mathbf{P}(t) = -\frac{1}{V} \sum_{n,m,\mathbf{k}} \langle m, \mathbf{k} | \mathbf{r} | n, \mathbf{k} \rangle G_{n,m,\mathbf{k}}^<(t)$$

... then ... $\chi(\omega) = \frac{\mathbf{P}(\omega)}{\epsilon_0 \mathbf{E}(\omega)}$... and ... $\epsilon(\omega) = 1 + \chi(\omega)$

The Kadanoff-Baym equations

The equation of motion of the system coupled with the external field, deriving from eq. 1, can be described in terms of the non-equilibrium Green's functions, which we expand in the eigenstates of the H_0 Hamiltonian:



L. Kadanoff G. Baym

$$\begin{aligned} i\hbar \frac{\partial}{\partial t_1} G_{n_1 n_2 \mathbf{k}}^<(t_1, t_2) &= \delta(t_1 - t_2) \delta_{n_1 n_2} \\ &+ H_{n_1 n_1 \mathbf{k}}^o(t_1) G_{n_1 n_2 \mathbf{k}}^<(t_1, t_2) + \sum_{n_3} U_{n_1 n_3 \mathbf{k}}(t_1) G_{n_3 n_2 \mathbf{k}}^<(t_1, t_2) \\ &+ \sum_{n_3} \int dt_3 (\Sigma_{n_1 n_3 \mathbf{k}}^r(t_1, t_3) G_{n_3 n_2 \mathbf{k}}^<(t_3, t_2) \\ &+ \Sigma_{n_1 n_3 \mathbf{k}}^<(t_1, t_3) G_{n_3 n_2 \mathbf{k}}^a(t_3, t_2)). \end{aligned} \quad (2)$$

In addition to this equation there is also the adjoint one for t_2 . However in general the equation for $G^>$ is not closed because both the self-energies Σ^r , $\Sigma^<$ and the G^a depend on the $G^>$.

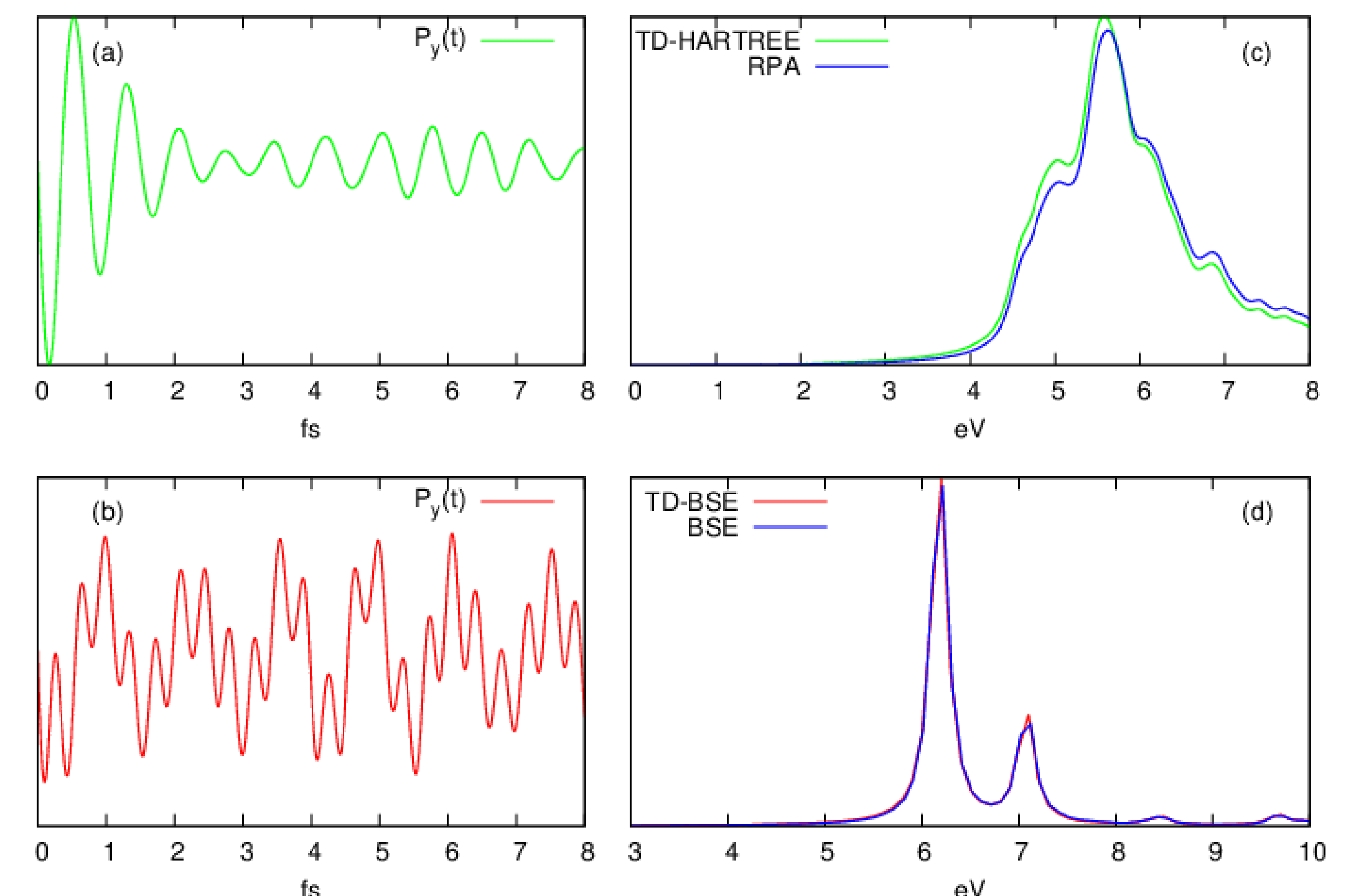
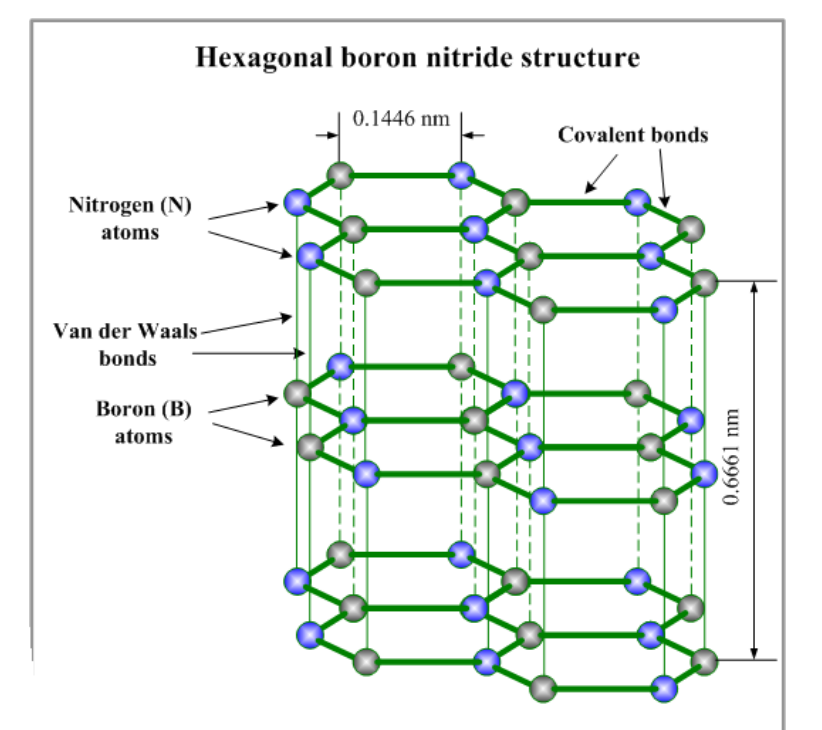
Approximations: $\begin{cases} \Sigma^r(t_1, t_2) \simeq \Sigma^{\text{COHSEX}}(t_1) \delta(t_1 - t_2) \\ \Sigma^<(t_1, t_2) = 0 \end{cases}$ Coulomb-hole plus screened-exchange self-energy

Within this approximation eq. 2 doesn't depend anymore on $G^>$ and moreover it reduces to its diagonal, in time, part only. (no dynamical effects = no memory)

$$i\hbar \frac{\partial}{\partial t} G_{n_1 n_2 \mathbf{k}}^<(t) = [H_o + U(t) + \Sigma^{\text{COHSEX}}(t), G^<(t)]_{n_1 n_2 \mathbf{k}} \quad (3)$$

Illustration of the methodology

We present numerical studies to illustrate the methodology just presented. We start with the calculation of the dielectric constant of a bulk material, the hexagonal Boron-Nitride (hBN). hBN is a wide gap insulator, which optical properties are strongly renormalized by the excitonic effects and for which all necessary parameters, crystal lattice etc. are well known.



Time-dependent Bethe-Salpeter eq.

Disregarding dynamical effects corresponds to a too strong approximation. In fact even if it has been shown that they are not so relevant for the optical response in solids (they cancel with the quasi-particle renormalization factors), their effect is extremely important for the quasi-particle properties. Therefore we decided to modify eq. 3 in such a way to catch the most relevant effects of the dynamical self-energy.

$$\begin{aligned} i\hbar \frac{\partial}{\partial t} G_{n_1 n_2 \mathbf{k}}^<(t) &= [H_0 + U + \Delta H_0 + V_h(\rho^0(t_0)) - V_h(\rho(t)) \\ &+ \Sigma^{\text{COHSEX}}(\tilde{G}^<(t_0)) - \Sigma^{\text{COHSEX}}(G^<(t))]_{n_1 n_2 \mathbf{k}} \end{aligned} \quad (4)$$

time-depnt Bethe-Salpeter equation

where $\rho(\mathbf{r}, t)$ is the density, and ΔH_0 is a scissor operator to apply the $G_0 W_0$ correction to the Kohn-Sham eigenvalues. Eq. 4 is equivalent to assume that the quasi-particle correction just modifies the single particle eigenvalues leaving unchanged the Kohn-Sham wave-functions.

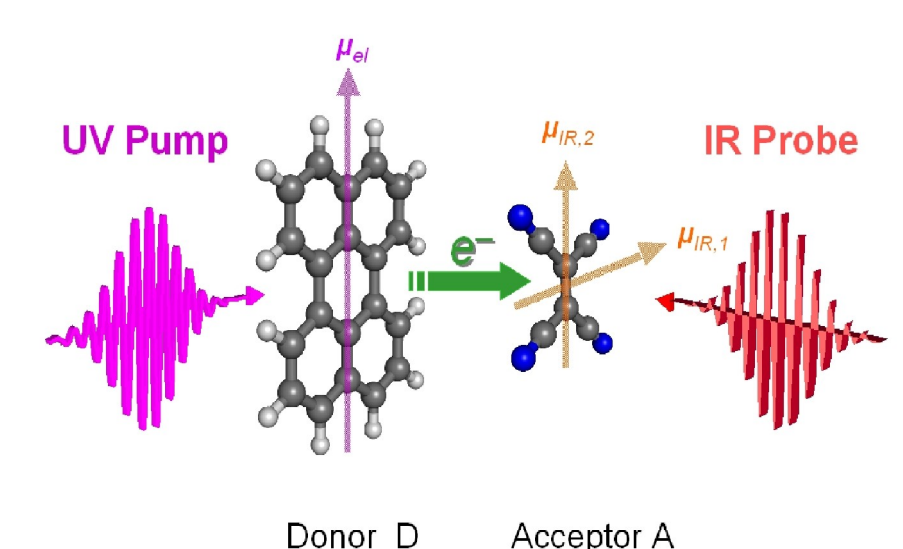
$$\tilde{G}_{nn'}^<(t_0) = -if(e_n^0) \delta_{nn'} \quad \text{is Green's function solution of eq. (3) with } \Sigma=0$$

This equation has the following features:

- it includes quasi-particle corrections, including dynamical effects
- It reduces to the standard Bethe-Salpeter equation on top of $G_0 W_0$ quasi-particles for weak perturbations
- it includes electron-hole interaction though the variation of the self energy respect to the $G^<$: $\partial \Sigma / \partial G^<$

Conclusions:

We present a new way to study optical properties in bulk materials and nanostructures, by using a time-dependent version of the Bethe-Salpeter equation. Our method has the flexibility of the real-time approaches, combined with the strength of many body perturbation theory.

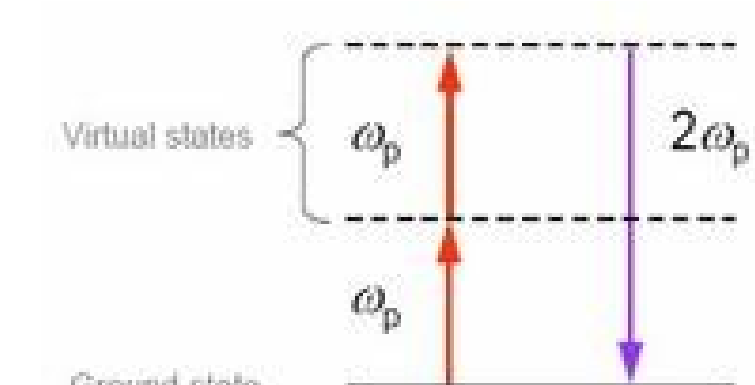


what next:

The time-dependent Bethe-Salpeter equation allows to simulate phenomenas beyond the linear optics as:

- non-linear response
- four-wave mixing
- Fourier spectroscopy
- pump and probe

with an affordable computational cost.



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